APR 2 8 2006 W

## 5-1-06

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97 (c))										
In Re Application of::										
In Re Application o	of::  Gad KEREN	et al.			-					
Application No.	Filing Date	Examiner	Customer No.	Art Unit	Confirmation No.					
09/839,643	APRIL 20, 2001	WIEKER, A.F.	44909	3743	2139					
Title:  Methods and apparatus for reducing localized circulatory system pressure										
<u> </u>		Address to: Commissioner for Pa P.O. Box 1450 Alexandria, VA 22313	3-1450							
of the fil CFR 1.5 CFR 1.4	1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued									
		37 CFR 1.97	(c)							
2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:										
the statement specified in 37 CFR 1.97(e);										
	OR									
⊠ t	he fee set forth in 37	CFR 1.17(p).								

APR 2 8 2006

### L OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97 (c))

Docket No.

372/04622

In Da	A	lication	of.
III I/C	MUU	ncanon	. OI

#### Gad KEREN et al.

Application No.	Filing Date	Examiner	Customer No.	Art Unit	Confirmation No.
09/839,643	APRIL 20, 2001	WIEKER, A.F.	44909	3743	2139
T:0	711 1tlE 20, 2001	WIERER, ILI	11707	3743	2137

Title:

#### Methods and apparatus for reducing localized circulatory system pressure

#### Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of	is attached.
☐ The Director is hereby authorized	ed to charge and credit Deposit Account No. 03-3419
as described below.	•
☑ Charge the amount of \$1	180.00
□ Charge any additional fe	e required.
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Typed or Printed Name of Person Signing Certificate

Yakov Schatz, Reg. No. 44,320

Date: April 2, 2006

William H. Dippert, Esq.

Wolf, Block, Schorr & Solis-Cohen LLP

250 Park Avenue

New York, New York 10177

Tel: (212) 986 1116

<sup>\*</sup>This certificate may only be used if paying by deposit account.

**137**2/04622

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

egard to the application of: Gad KEREN et al.

Serial No:

09/839,643

Group Art Unit: 3743

I.A. Filing Date:

April 20, 2001

Examiner: WIEKER, A.F.

For:

APR 2 8 2006

Methods and Apparatus for Reducing Localized Circulatory System Pressure

#### INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment

Hon. Commissioner of Patents and Trademarks

P.O. Box 1450

05/03/2006 BABRAHA1 00000038 033419 09839643

Alexandria, VA 22313-1450

01 FC:1806

180.00 DA

Sir:

Applicants respectfully direct the attention of the Examiner to art cited with respect to U.S. Application No. 09/839,643.

The Examiner is respectfully requested to review and consider this art, in accordance with MPEP §2001.06, MPEP §1893.03(e) and MPEP §1893.03(g) and to indicate in the first office action that he has considered this art. Additionally, the Examiner is respectfully requested to cite those prior art publications mentioned in this application which the Examiner considers to be material or relevant to the present claims.

Further, in order to comply with discretionary regulations 37 CFR 1.97 and 1.98, attached is an Equivalent to Form PTO-1449 listing the cited art. Also attached are copies of the art. This art contains information which the Examiner may consider to be important in deciding whether to allow the present application to issue as a patent.

In accordance with MPEP §609 it is requested that each document cited (including any mentioned in Applicants' specification which is not repeated on the attached PTO-1449 form or equivalent thereof) be given thorough consideration and be cited of record in the prosecution history of the present application by initialing on the PTO-1449 form or its equivalent, so that it will appear on the face of the patent issuing on the present application,

To the extent that a document is listed and no copy of same is attached, then such document is not at the present time available to the undersigned or is available in the national stage file. If a listed document is not in the English language and an English translation is readily available, such translation is also attached; if translation is not attached, it is not readily available to the undersigned. If a foreign language patent document is cited, and an English language equivalent is known to the undersigned, then such an equivalent patent is also cited on the attached form along with the corresponding foreign language patent and a connecting arrow indicated therebetween; if no such English language equivalent is cited then none is known to the undersigned.

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even if the Examiner does not consider it sufficiently pertinent to use in a rejection, or otherwise does not believe that the guidelines for citation have been fully complied with.

The present Information Disclosure Statement is being submitted in compliance with 37 C.F.R. §1.56 as an Examiner might consider any cited document important in deciding whether to allow the application to issue as a patent, but the citation of each document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 C.F.R. §1.104(a), and in the course of such search will review for relevance every document cited on the attached form.

Early and favorable consideration is earnestly solicited.

Respectfully submitted, Gad KEREN et al.

11 April **2**, 2006 Registration No. 44,320

Jaahov Schatz

William H. Dippert, Esq. Wolf, Block, Schorr & Solis-Cohen LLP 250 Park Avenue New York, New York 10177

Tel: (212) 986 1116

INFORMATION DISCLOSURE CITATION	ATTY DOCKET NO. 372/04622	SERIAL NO. 09/839,643
(Description of the several sheets if necessary)	APPLICANT(S)  Gad KEREN et al.	
APR 2 8 2006	FILING April 20, 2001	GROUP <b>3743</b>

#### **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	Filing date if appropriate
	1.	3,874,388	01 Apr '75	KING et al.			
-	2.	4,662,355	05 May '87	PIERONNE et al.			-
ÿ	3.	4,995,857	26 Feb '91	ARNOLD			
	4.	5,267,940	07 Dec '93	MOULDER			
	5.	5,326,374	05 Jul '94	ILBAWI et al.			
	6.	5,597,377	28 Jan '97	ALDEA			
	7.	5,795,307	29 Apr '97	KRUEGER			
	8.	6,302,892	16 Oct '01	WILK			
	9.	6,544,208	08 Apr '03	ETHIER et al.			
	10.	6,572,652	03 Jun '03	SHAKNOVICH			

#### U.S. PATENT APPLICATION PUBLICATIONS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	Filing date if
	11.	2004/0162514	19 Aug '04	ALFERNESS et al.			11 1

#### FOREIGN PATENT DOCUMENTS

EXAMINER		DOCUMENT	DATE	COUNTRY	CLASS	SUB-	TRANSL	ATION
INITIAL		NUMBER				CLASS	YES	NO
	12.	WO 99/60941	02 Dec '99	PCT				

#### OTHER DOCUMENTS (Including Author, Title, Date Pertinent Pages, Etc.)

	13.	Merriam- Webster OnLine Dictio	nary, Definition of "chamber", printed 20 Dec '04			
	14.		Davies et al., 1995, "Reduced Contraction and Altered Frequency Response of Isolated Ventricular Myocytes From Patients With Heart Failure, " Circulation 92: 2540-2549.			
	15.	Bristow & Gilbert, 1995, "Improvement in Cardiac Myocyte Function by Biological Effects of Medical Therapy: A New Concept in the Treatment of Heart Failure," European Heart Journal 16, Supplemet F: 20-31				
EXAMINER			DATE CONSIDERED			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-A820 (also form PTO-1449)

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INFORMATION DISCLOSURE CITATION	ATTY DOCKET NO. 372/04622	SERIAL NO. 09/839,643	_
(Use several sheets if necessary)	APPLICANT(S)  Gad KEREN et al.		
APR 2 8 2006	FILING April 20, 2001	GROUP 3743	
TRABELLET			

#### **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	 DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	Filing date if appropriate
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#### U.S. PATENT APPLICATION PUBLICATIONS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLA SS	SUB- CLASS	Filing date if appropriate

#### FOREIGN PATENT DOCUMENTS

EXAMINER	DOCUMENT	DATE	COUNTRY	CLASS	SUB-	TRANSLATION	
INITIAL	NUMBER				CLASS	YES	NO

#### OTHER DOCUMENTS (Including Author, Title, Date Pertinent Pages, Etc.)

	16.	Coats et al., 1992, "Controlled Trial of Physical Training in Chronic Heart Failure: Exercise Performance, Hemodynamics, Ventilation, and Autonomic Function, "Circulation 85: 2119-2131.		
	17.	Kramer, B.L. et al. Circulation 1983, 67(4): 807-816.		
	18.	Merck Index, 1759 and 3521 (11th ed. 1989).		
EXAMINER		DATE CONSIDERED		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-A820

Patent and Trademark Office\*U.S. DEPARTMENT OF COMMERCE

(also form PTO-1449)



PTO/SB92 (08-00) Approved for use through 10/31/2002. OMB 0651-0031

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New York, New York 10177-0030
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each submitted paper.

Applicant: Gad Keren et al. Serial No: 09/839,643

Filing Date: April 21, 2001
For: Methods And

Methods And Apparatus For Reducing Localized Circulatory System Pressure

Enclosures: (1) Transmittal of Information Disclosure Statement (3 pages);

(2) Information Disclosure Statement (2 pages);(3) IDS Citation (2 pages) w/eighteen references;

(4) Acknowledgement postcard

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